



Everything You Need to Know About Regulation CC: Holds, Funds Availability, Compliance & More

Friday, February 24, 2017 11:00 am - 12:30 pm EST

Program Content

Regulation CC can seem straight forward, but examiners are still finding weaknesses and violations. This webinar will describe the requirements for applying check holds, explain an easy-to-implement monitoring process, dissect the required funds availability policy, and cover substitute check requirements. Reg CC holds are an important tool to protect assets. Learn how to handle them with confidence! This fast-paced update will also ensure staff members comply with annual training requirements.

Covered Topics

- Components of the various notices required by Regulation CC
- Explanation of a case-by-case hold and the six exception holds
- Monitoring process to quickly evaluate the accuracy of a hold
- How to audit for Regulation CC, including your processing system
- Disclosure requirements for substitute checks
- General provisions governing substitute checks
- Training ideas for frontline staff

Take-Away Toolkit

- ◇ Reg CC color-coded cheat sheet for determining the appropriate hold period
- ◇ Sample Regulation CC policy, including Check 21
- ◇ Monitoring checklist for quickly reviewing the accuracy of each hold
- ◇ Substitute check claim form
- ◇ Worksheets with sample transactions to test hold knowledge, complete with answer key
- ◇ Employee training log
- ◇ Quiz you can administer to measure staff learning and a separate answer key

Who Should Attend?

This informative session is designed for frontline staff, new accounts personnel, deposit operations employees, auditors, & compliance officers.

About the Presenter – Molly Stull, Brode Consulting Services, Inc.

Molly Stull began her career as a teller while working on her undergraduate

degree and has continued working in the financial industry ever since. She has experienced the growth of a hometown bank, acquisitions of branches, mergers, charter changes, name changes, etc. Molly has activated business resumption plans, performed secondary market quality control reviews, processed wires, filed SARs, and coordinated reviews with external auditors and examiners. Her favorite role has always been educating staff and strongly believes that if staff understands the reason for a process they will be more compelled to follow the procedures. Molly has holds a Bachelor's from the University of Akron and an MBA from Ashland University.

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Registration Form - February 24, 2017

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