

## Fees & Virtual Information

### IBA Members

\$495 First Person

\$445 Additional Member from Same Institution

Participation in IBA programs is limited to members, associate members, and nonmembers from an eligible membership category at applicable member or non-member rates. Surcharge of 100% for Non-Members.

While we may not be able to get together "in person" for this event as originally planned given the circumstances, we know that education/training to stay current and be the best banker you can be is important. That's why we're committed to providing this two-day course and we're taking it online via webinar technology. Same instructor with the same content, but you'll have the ability to join from wherever you're located from the convenience (and safety) of your computer. We look forward to seeing you online!

You will receive your manual 4-5 days in advance should you want to print this to have during training. At this time, you will also receive log in instructions. This is a completely **LIVE** virtual training where you will be able to ask questions directly to the speaker.

## Confirmation / Cancellation

The IBA will send confirmations as requested for its programs. Please check the appropriate box & include a fax number on the registration form. If you have questions, contact Elizabeth DeHaven at 317-387-9380 or via email at [edehaven@indiana.bank](mailto:edehaven@indiana.bank).

Within three or more business days prior to the day of an educational program, no cancellation charge will be assessed. Within two days prior, 50% of the fee is assessed. Refunds are not provided for cancellations or absences on the day of the program. Substitutions are welcome at anytime.



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Indianapolis, IN 46240

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## Seminar

BSA is an on-going, ever-changing "safety and soundness" issue.

- Attend this IBA Seminar -

# BSA/AML Fundamentals Virtual Bootcamp

*Monday and Tuesday  
November 30 & December 1*

**IBA Center  
for Professional  
Development**

**8425 Woodfield Crossing Blvd., Suite 155E  
Indianapolis, IN 46240**



INDIANA BANKERS™  
ASSOCIATION

**Register Online  
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**Mailing Address Information**  
If the addressee listed on this brochure is no longer employed at the bank or the mailing address is incorrect, please update the information on our website at [www.indianabankers.org](http://www.indianabankers.org) or contact Wendy Coitt at 317-387-9380, or email: [wcoitt@indianabankers.org](mailto:wcoitt@indianabankers.org)

## Program Overview

The IBA BSA/AML Fundamentals Boot Camp provides the framework for understanding the BSA team's roles and responsibilities for BSA/AML and OFAC compliance. The financial industry is required to take an increased leading role in the prevention of money laundering and potential terrorist funding. Compliance with the Bank Secrecy Act (BSA) continues to be a top priority with the regulators. One major requirement of BSA is to designate a BSA/AML Officer and to ensure that the BSA Officer and the BSA Team are well trained and qualified to carry out the duties of this critical position. BSA is an on-going, ever-changing "safety and soundness" issue. This workshop will provide tools, techniques, and "real world" practice and examples for learning the critical components of a BSA/AML Program. We will review the basic BSA regulatory framework and provide you with an understanding of the BSA, OFAC, and core recordkeeping requirements. During the Boot Camp, the attendees will utilize the FFIEC BSA/AML Examination Manual.

## Meet Your Presenter



Dianne Barton is President of Performance Solutions, Inc., (PSI), a training and consulting company that specializes in providing "real world" solutions to the key challenges facing banks today in attracting and building relationships with their customers. Her wide-range of training and consulting experience includes strategic planning facilitation, leadership development, regulatory compliance, and service and sales development. Before starting Performance Solutions in 1983, she held senior positions with Bank South Corporation, the Internal Revenue Service, and the John H. Harland Company. Dianne is a graduate of Georgia State University and is on the faculty of several state banking schools.

## Seminar Topics

- Money Laundering and History of Bank Secrecy Act
- Today's BSA Officer and Team
- The Tools of BSA
- The Role of FinCEN
- BSA/AML Violations and Penalties
- BSA/AML Risk Assessments
- BSA/AML Compliance Program and 5 Pillars
- Customer Identification Program
- Customer Due Diligence and Beneficial Ownership
- Enhanced Due Diligence
- BSA Reporting and Record Keeping
  - \* Currency Transaction Reporting (CTR)
  - \* CTR Exemptions
  - \* Monetary Instrument Logs (MIL)
  - \* Funds Transfers
  - \* Suspicious Activity Reporting (SAR)
- Office of Foreign Asset Control (OFAC) Compliance
- BSA and OFAC Training
- Record Retention

## Who Should Attend

This bootcamp focuses on the ***fundamentals and basics*** of BSA and is designed for newly-appointed BSA/AML Officers, BSA/AML team members or those responsible for the function.

## Agenda Both Days

- 8:30 a.m. Registration & Continental Breakfast
- 9:00 a.m. Program Begins
- 12:00 Noon Lunch
- 1:00 p.m. Program Resumes
- 4:00 p.m. Program Adjourns

## BSA/AML Fundamentals Bootcamp

(Dept. 44)  
Please type or print legibly.  
Copy this form for additional participants.

Name

Nickname for Badge

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Fax

Emergency # (In case of bad weather, etc.)

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- \$445 Additional IBA Member

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### Payment Information

Total payable to the IBA Foundation, Inc.

- Check Enclosed
- VISA
- MasterCard
- AMEX

Account Number

Exp. Date

Return this form to:  
**IBA Foundation Inc.**  
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Register online at : [indiana.bank](http://indiana.bank)