

# BSA GRADUATE SCHOOL

## SEMINAR DETAILS



February 6 & 7



Virtual Seminar



9:00 AM - 4:00 PM

PRESENTED BY



SPONSORED BY



# BSA: LECTURES AND DISCUSSIONS



This two-day program is designed for BSA professionals who are competent in the core requirements of Bank Secrecy Act rules. It will provide an advanced level of training and interactive discussion on the challenges of developing and managing an effective BSA program.

The curriculum extensively covers Suspicious Activity Reports (SARs), dedicating ample time to this area due to SARs now encompassing a broader range of topics than ever before. Attendees are encouraged to share "war stories" related to their experiences with SAR filings while gaining a wealth of information from the presenter. Real-life BSA scenarios will also be dissected through these discussions.

This school will include the updated sections of the BSA Exam Manual that were published in 2023. While many of those sections will have minimal impact on your bank, it is crucial for BSA officers to be aware of their existence.

We will engage in discussions covering all of the latest pronouncements. Some introduce new material while others serve as reminders of steps the bank should have taken or should currently take.

A segment of the program emphasizes BSA program management, including leveraging technology for detecting BSA issues. Additionally, students will learn to design an effective BSA program through the study of provided workflow charts.

The course heavily relies on roundtable discussions and case studies. The facilitator will steer discussions based on predetermined topics and attendee requests. Each attendee is encouraged to contribute at least one discussion topic, and attendees will be solicited for topics prior to the school. The presenters will select additional topics based on interactions with clients, as well.

**REGISTER NOW!**

**FEBRUARY 6 & 7**

**Registration: 8:30 AM**

**Instruction: 9:00 AM - 4:00 PM**

**Virtual Seminar Each Day**

## Who Should Attend?

The BSA Graduate School caters to individuals well-versed in all aspects of the BSA regulations and rules. While not limited to BSA officers, the instructors will assume a BSA competency. This program is not designed to teach the basic elements of BSA.

## Seminar Agenda

Note: Order of the presentation will vary.

- **Update regarding BSA Software and Verification (Lecture & Discussion)**
- **Advanced BSA Elements**
  - Risk Assessments (Lecture & Discussion, Case Study)
  - Privately Owned ATMs (Lecture & Discussion, Case Studies)
  - MSBs (Lecture & Discussion, Case Studies)
  - Systems to Identify and Report Suspicious Activity (Lecture & Discussion, Case Studies)
  - Independent Testing (Discussion)
  - The Evolving World of SARs Filings (Lecture & Discussion, Case Studies)
  - Elder Abuse (Discussion & "War Stories," Case Studies)
  - Marijuana / Cannabis and BSA (Lecture & Discussion)
- **Managing a BSA Program (Lecture & Discussion)**
  - Overview
  - BSA / AML Responsibilities
  - Key Ingredients to BSA Management
  - Reports
  - Workflow Charts and BSA Program Design
    - The Five Es of Effective BSA Management
- **Regulatory Changes (Lecture)**
  - New Rules and Their Effect on BSA
  - The 2023 BSA Exam Manual Changes
- **Other Topics as Suggested by Attendees**

## SEMINAR PRESENTERS



**BILL ELLIOTT,**  
**CRCM**  
**Sr. Consultant & Director of Compliance Education**

Bill Elliott has over 40 years of banking experience. As a senior compliance consultant and manager of the compliance division within Young & Associates, Inc. Bill works on a variety of compliance-related issues, including leading compliance seminars, conducting compliance reviews, conducting in-house training, and writing compliance articles and training materials. Bill's career includes 15 years as a compliance officer and CRA officer in a large community bank, as well as working at a large regional bank. He has experience with consumer, commercial, and mortgage loans, and has managed a variety of bank departments, including loan review, consumer/commercial loan processing, mortgage loan processing, loan administration, credit administration, collections, and commercial loan workout.



**EDWARD PUGH,**  
**CAMS, CAMS-**  
**Audit, AAP, CFE**  
**Consultant**

Edward Pugh specializes in Bank Secrecy Act/Anti-Money Laundering (BSA/AML) at Young & Associates. He reviews clients' BSA/AML compliance programs, performs AML Model Validation and Reviews, and conducts ACH Audits throughout the Midwest, as well as contributes to corporate newsletters and training programs for bankers. Ed has worked for over 20 years in the industry, 5 of which he oversaw a team of bank examiners. He has also worked in retail lending and deposit products, corporate trust, compliance, and regulatory supervision. Ed holds the CAMS (Certified Anti-Money Laundering Specialist), CAMS-Audit, AAP (Accredited ACH Professional) from Nacha, and CFE (Certified Fraud Examiners) designations. He earned B.A. in Economics from the University of Texas at Austin.



**Mindy Shadoin,**  
**Consultant**

With 31 years of industry experience, Mindy Shadoin is a compliance consultant concentrating on compliance education, as well as performing compliance reviews. Her prior experience includes nine years as a Commissioned Compliance Examiner for the FDIC, where she examined community banks, served as an instructor at the Fair Lending school as well as an Acting Fair Lending Examination Specialist, and worked in the consumer response center. She has also worked as a community bank compliance officer, CRA officer, BSA officer, loan review officer, and internal auditor, and has supervised a loan operations department. Mindy earned her bachelor's degree in business administration from Baker University.

# REGISTRATION FORM: BSA GRADUATE SCHOOL

FEBRUARY 6 & 7, 2024

DESIGNATED BANKER

WWW.INDIANA.BANK

Attendee Full Name :

Bank :

Full Address :

Email :

*Email is required for registration.*

IBA Membership Status & Fees :  \$595 for each attendee for banks who are members of the CBC Program  \$695 for each attendee for banks who are members of IBA, but who are not members of the CBC Program  
:  \$1,295 for each attendee for banks who are not members

Additional Delegate(s) :

## PAYMENT INFORMATION

Payment Method :  Check Enclosed  Check in Mail  Pay at Door  Credit Card\*

\* If paying by credit card (Visa, MasterCard, and Discover accepted), please fill out the following information:

Name on Card :

Card Number :

Expiration :  CVC :

Total Amount Enclosed :

Return registration form along with payment to:  
IBA Foundation Inc.  
8425 Woodfield Crossing Blvd., Suite 155E, Indianapolis, IN 46240  
Register online: [www.indiana.bank](http://www.indiana.bank)

### Green Statement

In an effort to reduce waste and decrease the use of paper products in our seminars, we are now offering the manuals electronically. We will provide each attendee the manual in a PDF format that can be downloaded and saved to your computer. One week prior to the seminar, you will be provided with a web link to the manual.



For additional information and to register, please contact:

Laurie Rees  
Indiana Bankers Association  
[lrees@indiana.bank](mailto:lrees@indiana.bank)  
Phone: (317) 389-9380

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