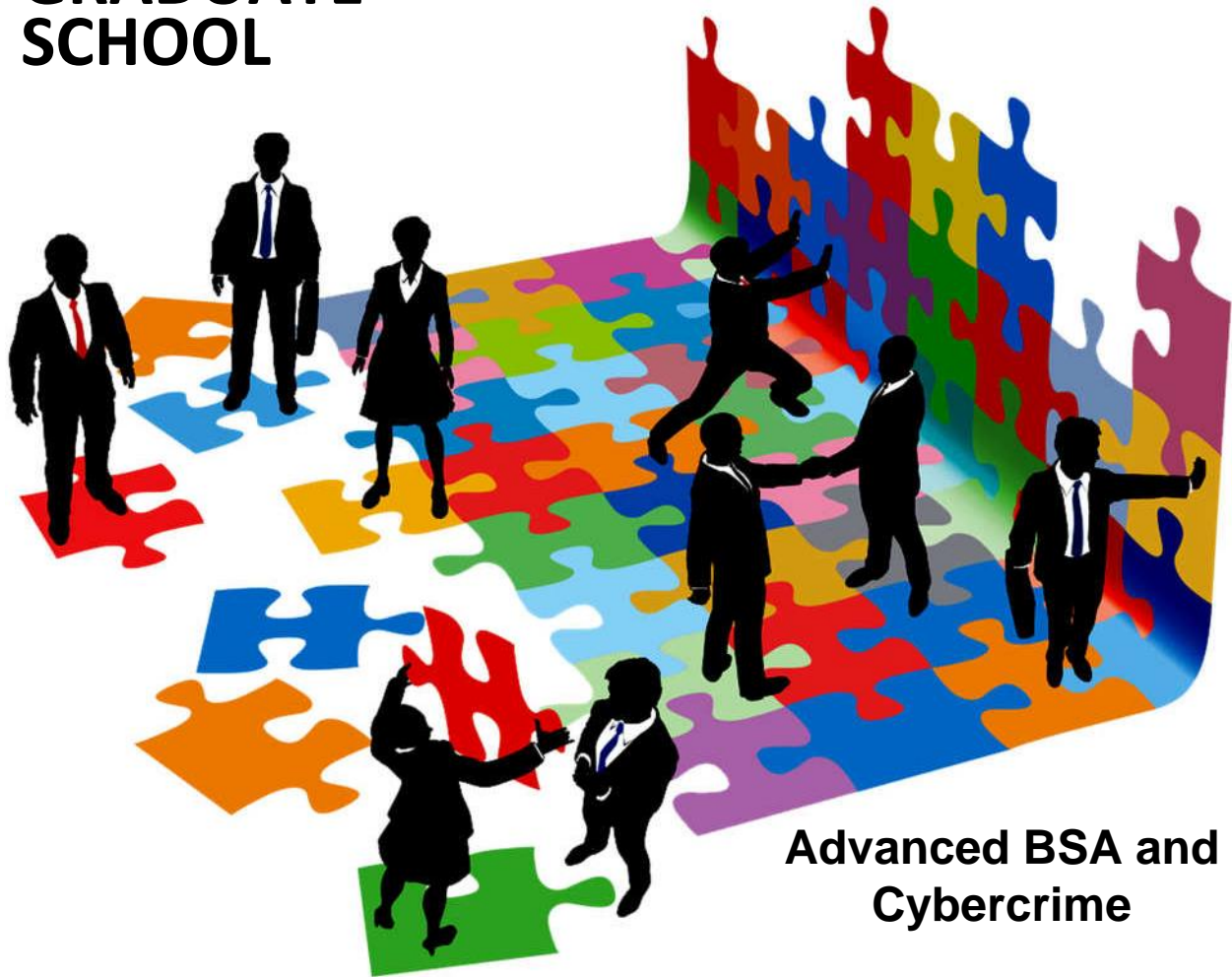


BSA GRADUATE SCHOOL



Advanced BSA and Cybercrime

February 22 – 23, 2023

9:00 AM — 4:00 PM EST

VIRTUAL TRAINING

Presented By:



Sponsored By:



BSA Graduate School

This two-day program is designed for BSA professional who are competent in the core requirements of Bank Secrecy Act rules and will provide a higher level of lecture, training and interactive discussion regarding the challenges of developing and managing an effective BSA program. Suspicious Activity Reports cover many more topics than ever before, and we will devote significant time to this subject. It is important that attendees share "war stories" from their experience with SAR filings, as well as receiving a wealth of information from the presenter. This discussion will revolve around real life BSA situations. This school will also address the updated BSA Exam Manual published in 2020 and 2021 and any up to the minute changes, including the latest pronouncements (however inadequate) regarding cryptocurrency..

Cybercrime /
Updated BSA
Exam Manual

The course will include lecture and round table discussions regarding BSA hot topics. Included will be the issue of marijuana related business (which are likely in your future) and their potential short- and long-term impact on your bank. A portion of the program will be managing a BSA program, including the use of technology in the detection of BSA issues. There will also be a discussion of the process of BSA software validation. Topics are listed in the agenda. In addition, the class will learn to design an effective BSA program through the study of workflow charts, which will be provided.

The course will rely heavily on a round table discussion. The facilitator will guide the group through a number of pre-determined discussion topics, as well as topics requested by the attendees. Each attendee is encouraged to contribute at least one topic they would like to discuss, and attendees will be solicited for topics prior to the school.

Who Should Attend?

The BSA Graduate School is designed for individuals who have a good working knowledge of all aspects of the BSA regulations and rules. While not limited to BSA officers, the instructors will presume BSA competency. This program is not designed to teach the basic elements of BSA.



Dates

February 22 - 23, 2023

The class will run from 9:00 AM to 4:00 PM each day.

BSA Graduate School

Agenda

BSA Software and Verification (Lecture and Discussion)

Advanced BSA Elements

- Risk Assessments (Lecture & Discussion)
- Privately Owned ATM's (Lecture & Discussion)
- MSBs (lecture & Discussion)
- Systems to Identify and Report Suspicious Activity (Lecture & Discussion)
- Independent Testing (Discussion)
- The Evolving World of SARs Filings (Lecture and Discussion)
- Elder Abuse (Discussion & "War Stories")
- Marijuana / Cannabis and BSA(Lecture & Discussion)

Managing a BSA Program (Lecture and Discussion)

- Overview
- BSA / AML Responsibilities
- Key Ingredients to BSA Management
- Reports
- Workflow Charts and BSA Program Design
- The Five E's of BSA Management
 - ❖ Effectiveness
 - ❖ Efficiency
 - ❖ Ethics
 - ❖ Examinations
 - ❖ Escalation

Regulatory Changes (Lecture)

- New Rules and Their Effect on BSA
- 2020 / 2021 BSA Exam Manual
- Cryptocurrency

Other Topics as Suggested by Attendees



Presenters Information

Bill Elliott CRCM, Sr. Consultant and Director of Compliance

Bill Elliott has over 40 years of banking experience. At Young & Associates, he leads compliance seminars, conducts compliance reviews for all areas of compliance, conducts in-house training, and writes compliance articles and training materials. During his career, Bill spent 15 years as a compliance officer and CRA officer in a large community bank and worked for a large regional bank. He has been a lender for consumer, commercial, and mortgage loans, and has managed a variety of bank departments, including loan review, consumer/commercial loan processing, mortgage loan processing, loan administration, credit administration, collections, and commercial loan workout. He holds the designation of Certified Regulatory Compliance Manager (CRCM).

Edward Pugh, CAMS, CRCM Consultant

Edward Pugh is a Consultant in Young & Associates, Inc.'s compliance division, specializing in AML/BSA. Ed reviews clients' BSA/AML compliance programs throughout the Midwest, and contributes to corporate newsletters as well as educational programs for bankers. He has worked for over 20 years in the industry, 5 of which he oversaw a team of bank examiners. He has also worked in retail lending and deposit products, corporate trust, compliance, and regulatory supervision. Ed holds the CAMS designation (Certified Anti-Money Laundering Specialist). He earned BA in Economics from the University of Texas at Austin.

BSA Graduate School

Registration Fees:

- \$595 for each attendee for banks who are members of the CBC Program.
- \$695 for each attendee for banks who are members of IBA, but who are not members of the CBC Program.
- \$1,295 for each attendee for banks who are not members

February 22, 23 - 2023

BSA Graduate School

9:00 AM - 4:00 PM

Virtual Training

Participants will have the ability to join from wherever you're located from the convenience of your computer. We look forward to seeing you online! You will receive your manual 4-5 days in advance should you want to print this to have during training. At this time, you will also receive log in instructions. This is a completely LIVE virtual training where you will be able to ask questions directly to the speaker

For additional information and to register, please feel free to contact: Indiana Bankers

Association Laurie Rees

Lrees@Indiana.bank

Phone: (317) 387-9380

Fax: (317) 333-7169

Designated Banker:

Bank Name _____

Attendee Name _____

Email Address _____

Additional Attendee Name _____

Email Address _____

☐ Check if you prefer electronic manuals

Address _____

City _____ State _____ ZIP _____

Telephone _____ Fax _____

Cell # (in case of weather emergency) _____

E-Mail Address(es) _____

Total Enclosed \$ _____

Participation in IBA programs is limited to members, associate members, and nonmembers from an eligible membership category at applicable member or non-member rates.

Credit Card Information (check one): ☐ MasterCard ☐ VISA ☐ AMEX ☐ Invoice Me

Card Holder Name

Credit Card Number

Expiration Date

Card Holder Signature

Cancellation Policy:

Within three of more business days prior to the day of an education program, no cancellation charge will be assessed. Within two days prior, 50% of the fee is assessed. Refunds are not provided for cancellations one day prior or absences on the day of the program. Substitutions are welcome any time.

Green Statement

In an effort to reduce waste and decrease the use of paper products in our seminars, we are now offering the manuals electronically. We will provide each attendee the manual in a PDF format that you can download and save to your computer and / or laptop. One week prior to the school, you will be provided with a web link to the manual.

Return registration form along with payment to:

IBA Foundation Inc.

8425 Woodfield Crossing Blvd., Suite 155E, Indianapolis IN 46240

FAX: (317) 333-7169