BSA GRADUATE SCHOOL

Managing the Bank’s BSA Program

January 6 & 7, 2021
9:00 AM — 4:00 PM
Virtual Classroom

Presented By:
Young & Associates, Inc.

Sponsored By:
IBA Center for Professional Development
BSA Graduate School

This two-day program is designed for BSA professionals who are competent in the core requirements of Bank Secrecy Act rules and will provide a higher level of lecture, training and interactive discussion regarding the challenges of developing and managing an effective BSA program. Much of the discussion will revolve around real life BSA situations which will be an integral part of the school. This school will also address the new BSA Exam Manual published earlier this year and any up to the minute changes.

NEW BSA Exam Manual

The course will include lecture and roundtable discussions regarding BSA hot topics. Included will be the issue of marijuana related businesses (which are likely in your future) and their potential short term and long term impact on your bank. A portion of the program will be managing a BSA program. Topics are listed in the agenda. In addition, the class will learn to design an effective BSA program through the study of workflow charts, which will be provided.

The course will rely heavily on a roundtable discussion. Although we will be virtual, the software employed for the school will permit interaction and discussion as if everyone was in the same room—or at least as close as we can get. The facilitator will guide the group through a number of pre-determined discussion topics, as well as topics requested by the attendees. Each attendee is encouraged to contribute at least one topic they would like to discuss—and attendees will be solicited for topics prior to the school.

Who Should Attend

The BSA Graduate School is designed for individuals who have a good working knowledge of all aspects of the BSA regulations and rules. While not limited to BSA officers, it will be beneficial for any person who has BSA-related responsibilities at the bank. This program is not designed to teach the basic elements of BSA.

Dates

January 6 & 7, 2021

Due to limitations on the size of groups, the BSA Graduate School will be held virtually. Each day’s webinar will run from 9:00 AM to 4:00 PM.
BSA Graduate School

AGENDA
Order of Presentation Will Vary

Advanced BSA Elements
- Risk Assessments (Lecture & Discussion)
- Privately Owned ATMs (Lecture & Discussion)
- MSBs (Lecture & Discussion)
- Systems to Identify and Report Suspicious Activity (Lecture & Discussion)
- Independent Testing (Discussion)
- Filings SARs (Lecture)
- Elder Abuse (Discussion & “War Stories”)
- Marijuana/Cannabis and the Future of BSA (Lecture & Discussion)

Managing a BSA Program (Lecture)
- Overview
- BSA/AML Responsibilities
- Key Ingredients to BSA Management
- Reports
- Workflow Charts and BSA Program Design
- The Five E’s of BSA Management
  - Effectiveness
  - Efficiency
  - Ethics
  - Examinations
  - Escalation

Regulatory Changes (Lecture)
- New Rules and Their Effect on BSA
- 2020 BSA Exam Manual

Roundtable Discussion
- Impact of COVID on BSA Operations
- Enlisting Support
- Integrating BSA into the Organizational Culture
- Presenting to the Board
- Regulatory Change Management Best Practices
- Other Topics as Suggested by Attendees

Presenter

Bill Elliott, CRCM, Director of Compliance Education with Young & Associates, Inc., has over 40 years of banking experience. Bill works on a variety of compliance-related issues, including leading compliance seminars, conducting compliance reviews for all areas of compliance, conducting in-house training, and writing compliance articles and training materials. During his career, Bill spent 15 years as a compliance officer and CRA officer in a large community bank, and also worked for a large regional bank. He has been a lender for consumer, commercial, and mortgage loans, and has managed a variety of bank departments, including loan review, consumer/commercial loan processing, mortgage loan processing, loan administration, credit administration, collections and commercial loan workout.
BSA Graduate School

Registration Fees:
- $595 for each attendee for banks who are members of the CBC Program.
- $695 for each attendee for banks who are members of IBA, but who are not members of the CBC Program.
- $1,295 for each attendee for banks who are not members

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For additional information and to register, please feel free to contact:
Indiana Bankers Association
Laurie Rees
Lrees@indianabankers.org
PHONE: 317.387.9380
FAX: 317.387.9374

Designated Banker:
Bank Name ________________________________
Attendee Name ________________________________
Email Address ________________________________
Additional Attendee Name ________________________________
Email Address ________________________________
☐ Check if you prefer electronic manuals
Address ________________________________
City ___________________________ State ______ ZIP ________
Telephone ________________ Fax ________________
Cell # (in case of weather emergency) __________________________
E-Mail Address(es) ________________________________
Total Enclosed $ __________

Participation in IBA programs is limited to members, associate members, and nonmembers from an eligible membership category at applicable member or non-member rates.

Credit Card Information (check one): ☐ MasterCard ☐ VISA ☐ Check ☐ Bill Me
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Card Holder Name Credit Card Number Expiration Date
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Green Statement
In an effort to reduce waste and decrease the use of paper products in our seminars, we are now offering the manuals electronically. We will provide each attendee the manual in a PDF Format that you can download and save to your computer and/or laptop. One week prior to the school, you will be provided with a web link to the manual.

Cancellation Policy
Within three or more business days prior to the day of an educational program, no cancellation charge will be assessed. Within two days prior, 50% of the fee is assessed. Refunds are not provided for cancellations one day prior or absences on the day of the program. Substitutions are welcome any time.

Return registration form along with payment to:
IBA Foundation Inc.
8425 Woodfield Crossing Blvd., Suite 155E, Indianapolis IN 46240
FAX: (317) 387-9374