

# INTERNAL AUDIT SCHOOL

## OVERVIEW

### Auditing the Lending Function

- Overview of the Lending Function
- Analysis of Lending Sub-Processes
- Internal Audit Objectives and Procedures:
  - Commercial, Consumer, Real Estate, Credit Card
  - Allowance for Credit Losses
  - Letters of Credit
  - Default Management

### Auditing Mortgage Banking Functions

- Overview of the Mortgage Lending and Servicing Functions
- Internal audit objectives and procedures related to:
  - Loan Application Sourcing
  - Loan Origination, Underwriting, and Approval.
  - Loan Closing and Funding
  - Loan Boarding
  - Servicing
  - Policy and Procedures
  - System Access

### Auditing Third-Party Risk Management

- Overview of the Third-Party Risk Management Functions
- Internal audit objectives and procedures related to:
  - Initial Due Diligence and Third-Party Selection
  - Ongoing Due Diligence
  - Vendor Termination
  - Governance and Oversight

### Ethics per the 2024 IIA Global Internal Audit Standards

- Overview
  - Principle 1 Demonstrate Integrity
  - Principle 2 Maintain Objectivity
  - Principle 3 Demonstrate Competency
  - Principle 4 Exercise Due Professional Care
  - Principle 5 Maintain Confidentiality

### Data Analytics and Data Governance

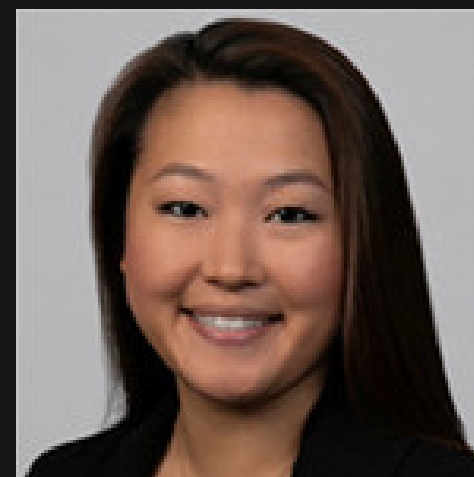
### Compliance Hot Topics

DECEMBER 10-11, 2024  
9am - 4pm

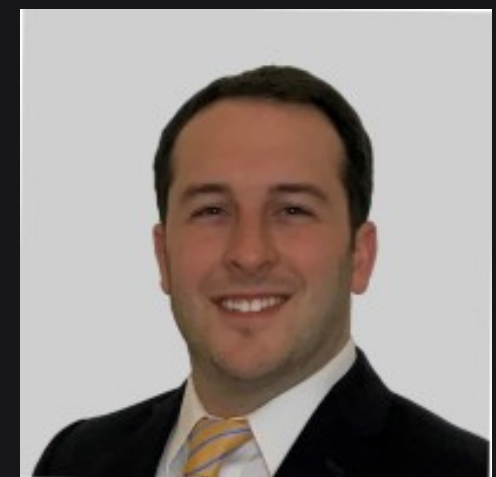


IBA Center for Professional Development

## The Speakers



NANCY KWAK  
SENIOR MANAGER



MARC CALVEY  
MANAGER

Crowe LLP ([www.crowe.com](http://www.crowe.com)) is a public accounting, consulting and technology firm that uses its deep industry expertise to provide audit services to public and private entities. The firm and its subsidiaries also help clients make smart decisions that lead to lasting value with its tax, advisory and consulting services.

Crowe is recognized by many organizations as one of the best places to work in the U.S. As an independent member of Crowe Global, one of the largest global accounting networks in the world, Crowe serves clients worldwide. The network consists of more than 200 independent accounting and advisory services firms in more than 130 countries around the world.

# REGISTRATION

## Registration Fee

Indiana Bankers Association & Illinois Bankers Association Members - \$595

The registration fee includes the program, materials, continental breakfast, lunch and refreshments each day. Participation in IBA programs is limited to members, associate members and non-members from an eligible membership category at applicable member or non-member rates.

A surcharge of 100% for non-members will be applied. Please register early!

## Hotel Information

The list of local hotels with their proximity to the IBA can be found at [indiana.bank/local-hotels](http://indiana.bank/local-hotels) for those who need overnight accommodations.

Please call the hotel for room rates and to make reservations. For events hosted offsite from the IBA, please visit the event's dedicated webpage for details about room blocks.

## Certificate of Completion

Each participant will receive a Certificate of Completion.

## Cancellation Policy

Within three or more business days prior to the day of an educational program, no cancellation charge will be assessed. Within two days prior, 50% of the registration fee is assessed. Refunds are not provided for cancellations or absences which occur within one day prior or on the day of the program.

Substitutions are welcomed.

## Hybrid Training

This course is being held as a hybrid training, simultaneously live in-person as well as streamed online. Each attendee will have the option to attend live or virtually. The live training will be held at the IBA Center for Professional Development, located at 8425 Woodfield Crossing Blvd Suite 155E, Indianapolis, IN 46240. Virtual training connection links and handouts will be provided 2-3 days in advance to allow for printing of the materials before class.

## Agenda

8:30 a.m. Registration & Continental Breakfast

9:00 a.m. Program Begins

12:00 p.m. Lunch

4:00 p.m. Program Resumes

*All times in EST. Same schedule for both days of training.*

## INTERNAL BANK AUDIT SCHOOL

December 10-11, 2024

Dept 39

Each attendee must complete a separate registration form; photocopies are acceptable.

First Name \_\_\_\_\_

Last Name \_\_\_\_\_

Position \_\_\_\_\_

Bank \_\_\_\_\_

Mailing Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

Phone \_\_\_\_\_

Email \_\_\_\_\_

## Payment Information

Total Amount Due \$ \_\_\_\_\_

I have enclosed my check

Please Invoice Me

Circle card type: VISA   Mastercard   AMEX

\_\_\_\_\_  
Name on Card

\_\_\_\_\_  
CVV Code

\_\_\_\_\_  
Card Number

\_\_\_\_\_  
Exp. Date

This school is  
co-sponsored by:



**INDIANA BANKERS  
ASSOCIATION**



If you have questions about  
registration or the school,  
please contact:

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Education Manager  
317-333-7169  
[edehaven@indiana.bank](mailto:edehaven@indiana.bank)