

## FEES AND LOCATION

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The following fees include the program, materials, continental breakfast, lunch and refreshments:

IBA Members  
\$325 First Attendee  
\$185 Additional Attendees

Virtual Options  
\$325 First Attendee  
\$185 Additional Attendees

This seminar will be held on Thursday, October 3, 2024, at the IBA Center for Professional Development, 8425 Woodfield Crossing, Blvd., Suite 155E, Indianapolis, IN 46240.

## CANCELLATION POLICY

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The IBA will send confirmations as requested for its programs. Please check the appropriate box on the registration form. If you have questions, contact AyZha Hazelwood at 317-387-9380 or via email at ahazelwood@indiana.bank.

Within three or more business days prior to the day of an educational program, no cancellation charge will be assessed. Within two days prior, 50% of the fee is assessed. Refunds are not provided for cancellations or absences the day before on the day of the program. Substitutions are welcome at any time.

## PRESENTERS



**Kimberly Boatwright** is EVP and Director of Risk and Compliance at Compliance Resource, LLC and has more than a two decades of experience working in the financial services industry. Ms. Boatwright is a well-regarded financial industry risk and compliance professional with a strong background in program development and implementation. She is a thought leader who specializes in Fair Lending, Anti-Money Laundering, OFAC and consumer compliance. During her career she has worked for and consulted with all types of financial institutions helping to establish and evolve compliance and risk programs. She is a frequent public speaker, trainer, and author on compliance and risk management topics. Ms. Boatwright is a Certified Regulatory Compliance Manager and a Certified Anti-Money Laundering Specialist.

INDIANA BANKERS  
ASSOCIATION  
PRESENTS:



UNDERSTANDING &  
IMPLEMENTING THE  
NEW REG. B SMALL  
BUSINESS LOAN DATA  
COLLECTION &  
REPORTING RULES

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October 3, 2024

Register at [indiana.bank](https://indiana.bank)

# WHAT?

The CFPB’s final rule to implement Section 1071 of the Dodd-Frank Act was released on March 30, 2023. This seminar delves into the final rule, how it differs from the proposed requirements, and what your institution needs to know to ease the regulatory burden and make the implementation process as smooth as possible.

As you’re probably aware, implementing the changes needed to comply with Section 1071 of Regulation B will require a full compliance management program, including policies, procedures, training, testing/monitoring, auditing, and will impact budgeting for several years. In addition to key definitions, effective dates, and the required data fields, you’ll come away from this session understanding:

- Which types of transactions are exempt from reporting;
- The tolerances applied to the collected data;
- How to report data to the CFPB;
- Important recordkeeping requirements;
- And more!

# AGENDA

8:30 a.m.	Registration & Continental Breakfast
9:00 a.m.	Program Begins
Noon	Lunch
1:00 p.m.	Program Resumes
4:00 p.m.	Program Adjourns



# WHY?

When you join us to unpack the final rule, you’ll:

- Understand the scope of Section 1071 and its impact on your institution.
- Come away with actionable steps for implementing the new rules as efficiently as possible within your institution.
- Examine the required data fields and find out to begin collecting them.
- Identify additional resources that may be required to achieve compliance, including budgeting, staffing, tools & training.
- Gain strategies for conducting a Section 1071 Gap Analysis.
- Learn how to communicate the impact of Section 1071 on the institution to the board, senior management, and commercial lending teams.
- Find out how to establish a proactive plan to acclimate employees and customers to changes in the lending process.
- Come away with a detailed manual that can serve as a handbook long after you’ve completed the course.

# WHO SHOULD ATTEND?

This session is designed for anyone who needs a better understanding of Section 1071’s final rule. It may be particularly beneficial for compliance officers, as well as those working in commercial lending, compliance, training, loan services, auditing and senior management.

# REGISTRATION

Dept. 45

Registration fees includes the program, materials, continental breakfast, lunch and refreshments each day. Participation in IBA programs is limited to members, associate members and non-members from an eligible membership category at applicable member or nonmember rates. 100% surcharge for non-members will be applied.

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Attendee Name \_\_\_\_\_

Email \_\_\_\_\_

Bank Name \_\_\_\_\_

Address \_\_\_\_\_

City, State, Zip \_\_\_\_\_

Phone \_\_\_\_\_

Please circle one:

Send Invoice      VISA      MasterCard      AMEX

Total Amount Enclosed \$ \_\_\_\_\_

Card Holder Name \_\_\_\_\_

Credit Card Number \_\_\_\_\_

Expiration Date \_\_\_\_\_ CVV Code \_\_\_\_\_

Please return this form to:  
IBA Foundation, Inc.  
8425 Woodfield Crossing Blvd.  
Suite 155E  
Indianapolis, IN 46240  
Phone (317) 387-9380

Questions?  
Contact: Laurie Rees  
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