

2026 Compliance Officer Forum

January 27, 2026
June 2, 2026
October 6, 2026

IBA Center for Professional Development

8425 Woodfield Crossing Blvd., Suite 155E
Indianapolis, IN 46240

An Investment in Your Bank's Future!

The IBA Compliance Forum provides a platform and opportunity for compliance officers, internal auditors and other risk managers to exchange ideas freely, discuss important issues and explore potential solutions with peers. You do not want to miss this opportunity! Compliance officers, compliance managers, risk professionals and auditors tasked with managing, implementing and testing compliance requirements are the target audience for this forum. Business line management would also benefit from the forum.

Possible Forum Topics

- Top current and emerging compliance risks for banks Regulatory compliance "hot topics"
- New product/activity compliance management Compliance Training
- Compliance committees and policy governance
- Risk culture and three lines of defense
- Compliance department resources and structure
- Key Compliance Risk Indicators and measurements
- Enterprise-wide compliance risk assessments

Benefits of the Forum

- Broaden your knowledge of industry compliance management methodologies
- Find creative solutions to help regulatory compliance challenges your bank is facing Improve the efficiency and effectiveness of your Compliance Management System/Program
- Gain an industry perspective on risk management and compliance "hot topics"
- Form relationships with other compliance officers and industry compliance experts

Who Should Attend?

Compliance Officers, compliance managers, compliance analysts, risk professionals and internal auditors tasked with testing compliance requirements. Business line management would also benefit in understanding compliance management defenses that are integral on the front-line. This platform will provide Compliance Officers an opportunity to discuss their concerns and explore collaborative solutions to problems they face on a day-to-day basis. You don't want to miss this opportunity!



Facilitator: Paul Osborne

Mr. Osborne is a Partner in the Financial Services Consulting Risk group and has over 30 years' experience in the banking industry, mostly, with large multi-state bank holding companies. He is responsible for directing the development and support of regulatory advisory services, Internal Audit, Corporate Governance, Enterprise-Wide Risk Management, BSA/AML and terrorist financing risk assessments.

Mr. Osborne's experience focuses primarily on the banking sector, including compliance risk assessment projects, transaction lookback investigations, fair lending assessments, compliance management program assessments and BSA audits. He and his colleagues also provide consulting services outside the banking sector to industries impacted by regulatory enforcement introduced by Dodd-Frank, the USA PATRIOT Act, GLBA and others.

2026 COMPLIANCE FORUM

REGISTRATION

How the Forum Works

- IBA will organize the forum based on bank size to ensure participants have as much in common as possible
- The group will be small—generally no more than 20 participants
- The forum will meet three times during the year
- Eight to ten topics will be discussed at each session; participants are strongly encouraged to suggest agenda topics of interest in advance
- Forum participants get the benefit of working with expert consultants on a cost-saving basis
- A binder based on Forum topics is compiled for each Forum member

Registration Fee for All Three Sessions:

The following fees include the program materials, continental breakfast, lunch and refreshments.

$999 per IBA member

A surcharge of 100% will be applied for non-members.

Participation in IBA programs is limited to members, associate members and non-members from an eligible membership category at applicable member or non-member rates.

2026 Compliance Forum

3 Session Registration

Please type or print legibly.

Bank Name _____

Attendee Name_____

Email Address _____

Additional Attendee Name_____

Email Address _____

Address _____

City _____ State _____ ZIP _____

Telephone _____

Cell # (in case of weather emergency) _____

Payment Information

Credit Card Information (circle one):

MasterCard VISA AMEX Invoice Me

Total Amount Due $_____

_____

Cardholder Name CVV Code

_____

Card Number Exp. Date

Forum Dates:

January 27, 2026
June 2, 2026
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Cancellation Policy:

Due to our commitments, the price is inclusive of all three sessions. A full refund for the three-session forum will be given for cancellations received prior to the first session. Any registrant who does not cancel before the first session will be billed the full registration fee and sent the materials. Substitutions are welcome at no additional charge.

INDIANA BANKERS ASSOCIATION

Register online at indiana.bank!